

REGISTERED MEMBER APPLICATION

Society for Mining, Metallurgy, and Exploration, Inc.
12999 E. Adam Aircraft Circle Englewood, CO 80112
(303) 948-4200 (800) 763-3132 Fax: (303) 948-4265 Email: membership@smenet.org

AM APPLYING FOR (check one):			
Admission	Last Name:		
☐ Change of Status	☐ Approved	Do NOT Write In This Space	
☐ Reinstatement +		↑ FOR OFFICE USE ONLY ↑	
If Reinstatement: Last Year of Active Membership:		T FOR OFFICE USE UNLI T	
Year of Election:	Prefix: ☐ Mr. ☐ M	lrs. □ Ms. □ Dr. □ Male □ Female	
If you are a current SME Member,		ils. 🗆 MS. 🗆 DI. 🗀 Male 🗀 l'effiale	
please provide your member number:	Please Print: Name:		
REGISTERED MEMBER DUES:	Last	First Middle Initial	
\$284 per year, US			
Member Fee: \$20 (for new members or members who			
have let their membership lapse for more than 6 months)	Email Address:	Birth Date:	
Fee Breakdown: \$163 Dues	Business Mailing Address		
+ \$121 Registered Member Fee	Street or PO Box:		
= \$284	City:	State/Province:	
THE FOLLOWING IS REQUIRED TO PROCESS YOUR APPLICATION:		Zip/PC:	
✓ Completed Application		Fax:	
 Payment Copy of Diploma and Transcripts (official transcripts required) 	Home Mailing Address		
✓ Complete Curriculum Vitae/Resume (include dates)	Street or PU Box:	Ctata (Dravinaca	
✓ Personal Statement	Country:	State/Province: Zip/PC:	
And either:	,	Ζίμπ σ	
✓ Copy of valid, CURRENT license or certification OR		ress: 🗆 Home 🗀 Business	
✓ Three completed verification forms from Registered Members	Freierred Mailing Addi	ess. Li liulie Li dusilless	
peoscientists, mining engineers and metallurgists, environmental engineers, and oth A person eligible for election or transfer into the class of Registered Member sha A minimum of seven (7) years of professional experience, of which at least three	her scientists, engineers and all have 1) a university degr e 3) must have been in a po	tilization of minerals, metals and energy sources. The membership includes geologists, d technologists. ree from a U.S. accredited university or a recognized international university, and 2) osition of responsibility, defined as one in which the individual was depended on for cant is capable of scoping, supervising and checking relevant work to ensure it meets	
University degree must be in fields related to the discovery, extraction and utilization copy of transcripts that confirms graduation.	ı of minerals, metals and en	nergy sources. Applicants must submit a copy of their signed University Degree and a	
Professional experience must be in the mineral and extractive industries, or industries and members are understood to have experience and worked in a		onal, research, professional or commercial organizations concerned with those and resources.	
every Registered Member shall observe and be bound by the SME Code of Ethics as	s published by SME.		
Any alleged breach of this code or any alleged unprofessional conduct by a Register with in accordance with the procedures.	ed Member which may be b	prought before the Ethics Committee shall be investigated and, if proved, shall be dealt	
SME and its Agents are not responsible for work performed on behalf of a Regis	tered Member. See the Reg	gistered Member Code of Ethics and Disciplinary Procedure for more information.	
Applicants must demonstrate that they satisfy at least ONE OF THE FOLLOWING: (a	and must provide proof of co	urrent License/Certification)	
minimum of 6 months. Verifiers must be SME Registered Members.) If you cannot	d Professional Geologist. tes or □ in a province in Cal three Registered Member ve ave first-hand working know.	erifiers. vledge of the applicant. The verifiers should be familiar with the applicants work for a	
DECICIEDED MENADED ONE CODE OF ETHICS			

REGISTERED MEMBER SME CODE OF ETHICS

(Interpretation of the Code of Ethics along with Admission, Resignation and Disciplinary Procedures can be obtained from SME or at www.smenet.org)

- 1. The responsibility of members for the welfare, health and safety of the community shall at all times come before their responsibility to the profession, to sectional or private interests, or to other members.
- 2. Members shall act so as to uphold and enhance the honor, integrity and dignity of the profession.
- 3. Members shall perform work only in their areas of competence.
- 4. Members shall build their professional reputation on merit and shall not compete unfairly.
- 5. Members shall apply their skill and knowledge in the interests of their employer or client for whom they shall act, in professional matters, as faithful agents or trustees.
- 6. Members shall give evidence, express opinions or make statements in an objective and truthful manner and on the basis of adequate knowledge.
- 7. Members shall continue their professional development throughout their careers and shall actively assist and encourage those under their direction to advance their knowledge and experience.
- 8. Members shall comply with all laws and government regulations relating to the mineral industries, and with the rules, regulations and practices as established and promulgated by the U.S. Securities and Exchange Commission with respect to the official listing requirements for mining and other companies.

SIGNATURE

I declare, by signing this application, that all statements made herein and on any appended sheets are true and accurate to the best of my knowledge, information, and belief. I shall abide by the Charter, Bylaws and Code of Ethics of the Society for Mining, Metallurgy, and Exploration Inc., and that I subscribe to and will abide by them and all provisions in them as now in effect or hereafter amended and that any untrue or incorrect statement knowingly made by me in this application or my failure to abide by the Charter, Bylaws and Code of Ethics, shall be grounds for my suspension or expulsion from the Society as may be determined and directed by the Ethics Committee.

I affirm adherence to applicable professional and ethical standards, have not had a certification, license, or similar qualification suspended or terminated for ethical or disciplinary reasons during my career, nor have I resigned for such designation in participation of or in settlement of proposed grievance or disciplinary proceedings.

I grant SME permission to contact listed employers, endorsers, and others who may provide information concerning my qualification for membership, and to divulge information contained in the application, or obtained in SME's review, of my qualification, which is necessary for verification of my qualifications.

If elected, I agree to abide by the bylaws and rules of the Society for Mining, Metallurgy, and Exploration, Inc. as the same are now in effect and as they may hereafter be modified while I am a member. I understand that under certain laws and regulations, SME must have my permission in order to communicate with me via fax or email. I hereby give SME Foundation, SME Local Sections and SME business associations permission to send me information and advertisements. If SME does NOT have your permission to communicate with you via fax or email, you may not have access to some of your electronic member benefits.

Signature:			Date:		
SME Membership was recommended to me by:					
VERIFIER INFORMATION (If you are providing a license or certification you do NOT need to complete this portion.) • VERIFIERS MUST BE SME REGISTERED MEMBERS. • EACH VERIFIER MUST HAVE WORKED WITH THE APPLICANT FOR A MINIMUM OF 1 YEAR, NO MORE THAN 10 YEARS PRIOR TO 2020. • VERIFIERS CANNOT BE THOSE YOU SUPERVISE OR WHERE YOU ARE/WERE THE CLIENT. • VERIFIERS' SELECTION OF YOUR COMPETENCY AREAS ON THIS FORM SHOULD MATCH YOURS. Please note it is the Applicant's responsibility to initially send the verification form and to follow up with their endorsers as needed. Verifiers are not to serve as a character reference, they must have first-hand working experience with you. Ideally your verifiers are not all from the same company.					
First Name:	Last Name:	Phone:	Email:		
First Name:	Last Name:	Phone:	Email:		
First Name:	Last Name:	Phone:	Email:		
RECORD OF EXPERIEN Include a brief record of em chronology and beginning a Dates Employed From: To: Dates Employed From:	Certificate/Lice CE ployment. Please focus on employment related to the nd ending dates and your primary duties for listed pro Title:	minerals industry. You must also sub ojects. Position of Responsibility indica Employer: Employer:	omit a complete Curriculum Vitae. Yo ates you were involved in significan	ur CV must include an employer decision making. Position of Responsibility Yes No Position of Responsibility Yes	
To:	Primary responsibilities: \[\square\$ No				
EDUCATION List the schools and degrees you earned, include your major area of study and date of graduation. You must also submit a copy of your diploma and transcripts as related to the industry. Name of School: Date of Graduation or Expected Date of Graduation:					
Degree Earned: Major:					
Name of School:	Name of School: Date of Graduation or Expected Date of Graduation:				
Degree Earned:		Major:	Major:		

2

PERSONAL STATEMENT Please provide your personal statement in 50 – 100 words, for the reasons you are pursuin you intend to sign.	g Registered Member Status. If you intend to sign off reports, please indicate which section(s)			
AREAS OF COMPETENCY CHECK THE AREAS WHICH YOU CONSIDER VOLIRSELE TO BE AN EYPERT	OPTIONAL SUBSCRIPTION			
CHECK THE AREAS WHICH YOU CONSIDER YOURSELF TO BE AN EXPERT. Your selection must be supported in your CV. Please note the most tenured Professionals select only 1 – 2 areas. Verifiers' selection should match yours. Geology Resource Modeling Geotechnical Evaluation Engineering, Mine Design, Infrastructure Metallurgy and Processing Environmental	Members automatically receive FREE online access to the SME journal, <i>Mining, Metallurgy & Exploration</i> (MME), through Springer Link (a \$139/year value). A print subscription to MME is also available to members at a discounted rate of \$129/year. Send an email to subscriptions@springernature.com to subscribe.			
☐ Market and Financial Analysis ☐ Valuation ☐ Other (please specify) ☐ DIVISIONAL/TECHNICAL INTEREST	THE FOLLOWING IS REQUIRED to process your application: ✓ Completed Application ✓ Payment ✓ Copy of Diploma and Transcripts ✓ Complete Curriculum Vitae/ Resume ✓ Personal Statement And either: ✓ Copy of valid, CURRENT license or certification on ✓ Three completed verification forms from Registered Members			
Please indicate, in order of preference (1, 2, 3), a minimum of one and no more than three, Division/Technical Interest Categories.	· reisonal statement			
Divisions: Technical Interest Committees:				
Coal & Energy (F) Bulk Material Handling (M)	PAYMENT			
Environmental (E) Education (J)	DUES ARE PAYABLE ON A CALENDAR YEAR BASIS.			
Health & Safety (S)	PAYMENT MUST ACCOMPANY APPLICATION.			
Industrial Minerals & Aggregates (H)	Please charge the following credit card:			
Mining & Exploration (Metallics) (A)	☐ American Express ☐ Discover☐ MasterCard ☐ Visa			
Mineral & Metallurgical Processing (B)				
Underground Construction Association (U)	or □ Check Enclosed Check #			
INDUSTRY SECTOR	Card Number:			
☐ Coal Mining (1B) ☐ Industrial Minerals Processing (1D) ☐ Coal Processing (6) ☐ Manufacturing (5)	Expiration Date: Security Code:			
☐ Economics (4) ☐ Marine Mining (13)	Signature:			
☐ Education (3) ☐ Metallurgy (9)				
☐ Environmental (7) ☐ Metals Mining (1A)	DUES \$			
☐ Geology/Exploration (8) ☐ Metals Processing (10) ☐ Government (2) ☐ Underground Construction/Tunneling (11)	REGISTERED MEMBER FEE \$			
☐ Industrial Minerals Mining (1C)	MEMBER FEE \$			
	TOTAL \$			
JOB TITLE	Dues Payable in U.S. Dollars Only			
□ Consultant (C) □ Mine/Plant Manager (N) □ Educator (D) □ Owner (O) □ Engineer (E) □ President/CEO/COO (P) □ GM/Vice President (G) □ Purchasing Agent (R) □ Geologist (H) □ Scientist/Researcher (S) □ Marketing/Sales (M)	Please note that if you are already a current member of SME you will only be responsible for the \$117.00 Registered Member fee, we will not charge your card until after your application is approved.			

3

www.smenet.org Rev 10/2019