

REGISTERED MEMBER APPLICATION

Society for Mining, Metallurgy, and Exploration, Inc.

12999 E. Adam Aircraft Circle Englewood, CO 80112 (303) 948-4200 (800) 763-3132 Fax: (303) 948-4265 Email: membership@smenet.org

Admission	Last Name:				
Change of Status Reinstatement + If Reinstatement: Last Year of Active Membership:	Approved		I	Do NOT Write In This Space	
	▲ FOR OFFICE USE ONLY				
Year of Election: If you are a current SME Member, please provide your member number:	Prefix: Mr. Mrs Please Print:			Female	
REGISTERED MEMBER DUES:	Name:		First		Middle Initial
 \$284 per year, US Member Fee: \$20 (for new members or members who have let their membership lapse for more than 6 months) Fee Breakdown: \$163 Dues + \$121 Registered Member Fee = \$284 THE FOLLOWING IS REQUIRED TO PROCESS YOUR APPLICATION: ✓ Completed Application 	Present Position Title: Email Address: Business Mailing Address Street or PO Box: City: Country:			Birth Date: State/Province: Zip/PC:	
 Payment Copy of Diploma and Transcripts (official transcripts required) Complete Curriculum Vitae/Resume (include dates) Personal Statement And either: Copy of valid, CURRENT license or certification OR Three completed verification forms from Registered Members 	Country:			_State/Province: _ Zip/PC:	

REGISTERED MEMBER QUALIFICATIONS:

A Registered Member is a scientist, engineer or technologist who is involved with the discovery, extraction and utilization of minerals, metals and energy sources. The membership includes geologists, geoscientists, mining engineers and metallurgists, environmental engineers, and other scientists, engineers and technologists.

A person eligible for election or transfer into the class of Registered Member shall have 1) a university degree from a U.S. accredited university or a recognized international university, and 2) a minimum of seven (7) years of professional experience, of which at least three 3) must have been in a position of responsibility, defined as one in which the individual was depended on for significant participation and decision making relevant to their respective area of competency and that the applicant is capable of scoping, supervising and checking relevant work to ensure it meets industry standards.

University degree must be in fields related to the discovery, extraction and utilization of minerals, metals and energy sources. Applicants must submit a copy of their signed University Degree and a copy of transcripts that confirms graduation.

Professional experience must be in the mineral and extractive industries, or in government, educational, research, professional or commercial organizations concerned with those industries and members are understood to have experience and worked in areas related to reserves and resources.

Every Registered Member shall observe and be bound by the SME Code of Ethics as published by SME.

Any alleged breach of this code or any alleged unprofessional conduct by a Registered Member which may be brought before the Ethics Committee shall be investigated and, if proved, shall be dealt with in accordance with the procedures.

SME and its Agents are not responsible for work performed on behalf of a Registered Member. See the Registered Member Code of Ethics and Disciplinary Procedure for more information. Applicants must demonstrate that they satisfy at least ONE OF THE FOLLOWING: (and must provide proof of current License/Certification)

REGISTERED MEMBER CONDITIONS

- Licensed or Certified in a state of the United States that is a member of the National Association of State Boards of Geology (ASBOG).
- Certified by the American Institute of Professional Geologists (AIPG) as a Certified Professional Geologist.
- Derived Professional Engineer (PEng) licensed or certified D in a state of the United States or D in a province in Canada
- Professional Geologist (PGeo) in a province in Canada OR

□ You may apply if you do not meet the above criteria but you will need to provide three Registered Member verifiers.

(Those endorsing you must complete an individual Verification Form and must have first-hand working knowledge of the applicant. The verifiers should be familiar with the applicants work for a minimum of 6 months. Verifiers must be SME Registered Members.) If you cannot locate 3 verifiers, please contact Kendra at elrod@smenet.org for possible alternatives.

REGISTERED MEMBER SME CODE OF ETHICS

(Interpretation of the Code of Ethics along with Admission, Resignation and Disciplinary Procedures can be obtained from SME or at www.smenet.org)

- 1. The responsibility of members for the welfare, health and safety of the community shall at all times come before their responsibility to the profession, to sectional or private interests, or to other members.
- 2. Members shall act so as to uphold and enhance the honor, integrity and dignity of the profession.
- 3. Members shall perform work only in their areas of competence.
- 4. Members shall build their professional reputation on merit and shall not compete unfairly.
- 5. Members shall apply their skill and knowledge in the interests of their employer or client for whom they shall act, in professional matters, as faithful agents or trustees.
- 6. Members shall give evidence, express opinions or make statements in an objective and truthful manner and on the basis of adequate knowledge.
- 7. Members shall continue their professional development throughout their careers and shall actively assist and encourage those under their direction to advance their knowledge and experience.
- 8. Members shall comply with all laws and government regulations relating to the mineral industries, and with the rules, regulations and practices as established and promulgated by the U.S. Securities and Exchange Commission with respect to the official listing requirements for mining and other companies.

SIGNATURE

SIGNATURE					
I declare, by signing this application, that all statements made herein and on any appended sheets are true and accurate to the best of my knowledge, information, and belief. I shall abide by the Charter, Bylaws and Code of Ethics of the Society for Mining, Metallurgy, and Exploration Inc., and that I subscribe to and will abide by them and all provisions in them as now in effect or hereafter amended and that any untrue or incorrect statement knowingly made by me in this application or my failure to abide by the Charter, Bylaws and Code of Ethics, shall be grounds for my suspension or expulsion from the Society as may be determined and directed by the Ethics Committee.					
I affirm adherence to applicable professional and ethical standards, have not had a certification, license, or similar qualification suspended or terminated for ethical or disciplinary reasons during my career, nor have I resigned for such designation in participation of or in settlement of proposed grievance or disciplinary proceedings.					
I grant SME permission to contact listed employers, endorsers, and others who may provide information concerning my qualification for membership, and to divulge information contained in the application, or obtained in SME's review, of my qualification, which is necessary for verification of my qualifications.					
a member. I understand that Sections and SME busines	by the bylaws and rules of the Society for Mining, at under certain laws and regulations, SME must h s associations permission to send me information f your electronic member benefits.	ave my permission in order to communic	ate with me via fax or email. I her	eby give SME Foundation, SME Local	
Signature:	ature: Date:			:	
SME Membership was re-	commended to me by:				
VERIFIERS MUST BE S EACH VERIFIER MUST VERIFIERS CANNOT B VERIFIERS' SELECTIO Please note it is the Applica	DN (If you are providing a license or cert SME REGISTERED MEMBERS. HAVE WORKED WITH THE APPLICANT FOR A MIN E THOSE YOU SUPERVISE OR WHERE YOU ARE/WI N OF YOUR COMPETENCY AREAS ON THIS FORM ant's responsibility to initially send the verification is perience with you. Ideally your verifiers are not all	IMUM OF 1 YEAR, NO MORE THAN 10 YE ERE THE CLIENT. SHOULD MATCH YOURS. form and to follow up with their endorsers	ARS PRIOR TO 2020.	rve as a character reference, they must	
First Name:	Last Name:	Phone:	Email:		
	Last Name:				
First Name:	Last Name:	Phone:	Email:		
CERTIFICATE OR LICE Certificate/License Type:	NSE INFORMATION Certificate	/License Number:	State/Province Issue	d:	
RECORD OF EXPERIEN	ICE				
chronology and beginning a	nployment. Please focus on employment related to and ending dates and your primary duties for listed				
Dates Employed	Title:	Employer:		Position of Responsibility	
From:	Nature of employer's business: _ Yes		_ 🗆 Yes		
То:	Primary responsibilities: No				
Dates Employed	Title: Position of Responsibility				
From:	Nature of employer's business: _ Yes				
To:	Primary responsibilities:			🗆 No	
EDUCATION List the schools and degreater the industry.	ees you earned, include your major area of stud	ly and date of graduation. You must als	o submit a copy of your diploma	a and transcripts as related to	
-		Date of Graduation or Expecte	d Date of Graduation:		
Degree Earned:	Major:				
Name of School:		Date of Graduation or Expecte	d Date of Graduation:		
Degree Earned:		Major:			

PERSONAL STATEMENT

Please provide your personal statement in 50 - 100 words, for the reasons you are pursuing Registered Member Status. If you intend to sign off reports, please indicate which section(s) you intend to sign.

AREAS OF COMPETENCY

CHECK THE AREAS WHICH YOU CONSIDER YOURSELF TO BE AN EXPERT. Your selection must be supported in your CV. Please note the most tenured Professionals select only 1-2 areas. Verifiers' selection should match yours.

Geology

- Resource Modeling
- Geotechnical Evaluation
- Engineering, Mine Design, Infrastructure Metallurgy and Processing
- Environmental
- Market and Financial Analysis
- □ Valuation
- Other (please specify)

DIVISIONAL/TECHNICAL INTEREST

Please indicate, in order of preference (1, 2, 3), a minimum of one and no more than three, Division/Technical Interest Categories.

Divisions:

Technical Interest Committees:

___ Education (J)

Bulk Material Handling (M)

Environmental (E)

Coal & Energy (F)

- ____ Health & Safety (S)
- ____ Industrial Minerals & Aggregates (H)
- Mining & Exploration (Metallics) (A)
- Mineral & Metallurgical Processing (B)
- Underground Construction Association (U)

INDUSTRY SECTOR

- Coal Mining (1B)
- Coal Processing (6)
- Economics (4)
- Education (3)
- Environmental (7)
- Geology/Exploration (8)
- Government (2)
- □ Industrial Minerals Mining (1C)

JOB TITLE

- Consultant (C)
- Educator (D) Engineer (E)
- GM/Vice President (G)
- Geologist (H)
- □ Marketing/Sales (M)

- □ Industrial Minerals Processing (1D) □ Manufacturing (5) □ Marine Mining (13) Metallurgy (9) Metals Mining (1A) □ Metals Processing (10)
- Underground Construction/Tunneling (11)
 - □ Mine/Plant Manager (N)
 - \Box Owner (0)
 - □ President/CEO/COO (P)
 - Purchasing Agent (R)
 - □ Scientist/Researcher (S)

OPTIONAL SUBSCRIPTION

Members automatically receive FREE online access to the SME journal, Mining, Metallurgy & Exploration (MME), through Springer Link (a \$139/year value).

A print subscription to MME is also available to members at a discounted rate of \$129/year. Send an email to subscriptions@springernature.com to subscribe.

THE FOLLOWING IS REQUIRED to process your application:

- Completed Application
- ✓ Payment
- Copy of Diploma and Transcripts ✓ Complete Curriculum Vitae/
- Resume
- And either: ✓ Copy of valid, CURRENT license
- or certification OR
- ✓ Three completed verification forms from Registered Members
- Personal Statement

PAYMENT

DUES ARE PAYABLE ON A CALENDAR YEAR BASIS.

PAYMENT MUST ACCOMPANY APPLICATION.

Please charge the following credit card:

□ American	Express
	LVDIC99

🗌 Visa MasterCard

Discover

or
Check Enclosed Check # _____

Card Number:

Expiration Date: _____ Security Code: _____

Signature:

DUES \$ ____

REGISTERED MEMBER FEE \$ ____

MEMBER FEE \$ ____

TOTAL \$ _ Dues Payable in U.S. Dollars Only

Please note that if you are already a current member of SME you will only be responsible for the \$117.00 Registered Member fee, we will not charge your card until after your application is approved.